



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 5

77 WEST JACKSON BOULEVARD

CHICAGO, IL 60604-3590

DEC -6 2011

REPLY TO THE ATTENTION OF:

WC-15J

CERTIFIED MAIL 7099 3400 0000 9583 0735
RETURN RECEIPT REQUESTED

Mr. Jim Griffin d/b/a
Griffin Septic Service
Septage Hauler
21956 Elliot Drive
Carlyle, Illinois 62231

Re: Docket No: CWA-05-2011-0010
BD # 2751243W00122

Dear Mr. Griffin:

I have enclosed one copy of the fully executed Consent Agreement and Final Order (CAFO) in the resolution of the above case. It was filed **DEC -6 2011** with the Regional Hearing Clerk.

The penalty amount agreed upon is \$9,063, which is due 30 days after the effective date of this CAFO. A Certified or Cashier Check should be made payable to the "Treasurer, United States of America," at the following address:

U.S. Environmental Protection Agency
Fines and Penalties
Cincinnati Finance Center
P.O. Box 979077
St. Louis, MO 63197-9000

When submitting your check, please be sure that the Case Docket No. and the Billing Docket No. (BD) are included at the bottom of your check.

There is now an On Line Payment Option available through the Department of Treasury at <https://www.pay.gov>; which allows you to make payments using your credit card, checking or saving account. Using the Search Public Forms field (left side of page) enter "SFO 1.1" to access the EPA Miscellaneous Payments-Cincinnati Finance Center Form. Follow the instructions for payment.

As indicated in your CAFO, a copy of the check or electronic transfer must be sent to:

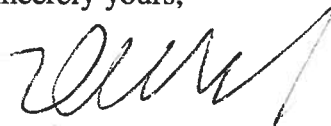
LaDawn Whitehead (E-19J)
Regional Hearing Clerk
U.S. EPA
77 W. Jackson Blvd.
Chicago, IL 60604

Valdis Aistars WC-15J)
Sludge Program Manager
U.S. EPA
77 W. Jackson Blvd.
Chicago, IL 60604

Cynthia A. King (C-14J)
Office of Regional Counsel
U.S. EPA
77 W. Jackson Blvd.
Chicago, IL 60604

If you have any questions, please contact Mr. Valdis Aistars at (312) 886-0254.

Sincerely yours,



Dean Maraldo, Chief
Water Enforcement and Compliance Assurance Branch

Enclosure

cc: Michael Garretson, IEPA

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 5

)	
IN THE MATTER OF:)	CWA-05-2011-0010
)	Docket No.
JIM GRIFFIN d/b/a)	
GRIFFIN SEPTIC SERVICE)	Joint Civil Complaint and Consent
SEPTAGE HAULER)	Agreement and Final Order to
)	Resolve a Proceeding to Assess
)	A Civil Penalty Pursuant to
)	Section 309(g) of the Clean Water Act,
)	33 U.S.C. § 309(g)
CARLYLE, ILLINOIS,)	
)	
RESPONDENT.)	
)	

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SEP 29 2011

REGIONAL HEARING CLERK
U.S. ENVIRONMENTAL
PROTECTION AGENCY

CONSENT AGREEMENT

1. This administrative action for the assessment of a civil penalty is being simultaneously commenced and concluded by the filing of this Consent Agreement and Final Order (CAFO) pursuant to Section 309(g) of the Clean Water Act (CWA or the Act), 33 U.S.C. § 1319(g), and Sections 22.13(b) and 22.18(b) of the *Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation, Termination or Suspension of Permits* (the Consolidated Rules); 40 C.F.R. Part 22.
2. Complainant is, by lawful delegation, the Director of the Water Division, Region 5, United States Environmental Protection Agency (EPA or the Agency).
3. The Respondent is Jim Griffin d/b/a Griffin Septic Service (Respondent).
4. Respondent operates a septage hauling business located at 21956 Elliot Drive, Carlyle, Illinois 62231.
5. Respondent pumps, hauls, and land applies domestic septage.

6. Respondent is a “person” within the meaning of the definition set forth at Section 502(5) of the CWA, 33 U.S.C. § 1362(5) and 40 C.F.R Part 503.9(q).

7. On June 11, 2010, EPA issued an Order to Respondent, pursuant to Section 308 of the CWA, 33 U.S.C. § 1318, ordering Respondent to cease land application of domestic sewage and to submit information to EPA regarding Respondent’s compliance with federal regulations governing the land-application of domestic septage.

8. Respondent replied to EPA’s information request with a letter dated June 27, 2010. Respondent’s response was incomplete.

9. In correspondence dated July 12, 2010, EPA requested that Respondent provide responses to all of the information requested in the June 11, 2010 Order.

10. Respondent provided another partial response on August 4, 2010.

11. Section 405(e) of the CWA, 33 U.S.C. § 1345(e), states that: “[t]he determination of the manner of disposal or use of sludge is a local determination, except that it shall be unlawful for any person to dispose of sludge from a publicly owned treatment works or any other treatment works treating domestic sewage for any use for which regulations have been established pursuant to subsection (d) of this section, except in accordance with such regulations.”

12. Pursuant to Section 405(d) of the CWA, 33 U.S.C. § 1345(d), the Administrator published the “Standards for the Use or Disposal of Sewage Sludge” on February 19, 1993, codified at 40 C.F.R. Part 503. By the terms of the regulation, the requirements of Part 503 became effective one year from the date of promulgation.

13. 40 C.F.R. § 503.3(b) states that “[no] person shall use or dispose of sewage sludge through any practice for which requirements are established in this part except in accordance with such requirements.”

14. 40 C.F.R. § 403.7 states that: “[a]ny person who prepares sewage sludge shall ensure that the applicable requirements in this part are met when the sewage sludge is applied to the land, placed on a surface disposal site, or fired in a sewage sludge incinerator.”

15. 40 C.F.R. § 503.15(d) states that: “[t]he vector attraction reduction requirements in 40 C.F.R. § 503.33(b) (9), (b) (10), or (b) (12) shall be met when domestic septage is applied to agricultural land, forest, a public site, or a reclamation site.”

16. 40 C.F.R. § 503.17(b) states that: “[w]hen domestic septage is applied to agricultural land, forest, or a reclamation site, the person who applies the domestic septage shall develop the following information and shall retain the information for five years: (1) [t]he location, by either street address or latitude and longitude, of each site on which domestic sewage is applied. (2) The number of acres in each site on which domestic septage is applied. (3) The date domestic septage is applied. (4) The nitrogen requirement for the crop or vegetation grown on each site during a 365 day period. (5) The rate, in gallons per acre per 365 day period, at which domestic septage is applied. (6) The . . . certification statement (7) A description of how the pathogen requirements in either § 503.32(c)(1) or (c)(2) are met [; and] (8) A description of how the vector attraction reduction requirements in § 503.33(b)(9), (b)(10), or (b)(12) are met. ”

17. 40 C.F.R. § 503.9(f) defines the term “domestic septage” as either liquid or solid material removed from a septic tank, cesspool, portable toilet, Type III marine sanitation device or similar treatment works that receives only domestic sewage.

18. 40 C.F.R. § 503.9(g) defines the term “domestic sewage” as waste and wastewater from humans or household operations that is discharged to or otherwise enters a treatment works.

19. 40 C.F.R. § 503.9(w) defines the term “sewage sludge” as solid, semi-solid or liquid residue generated during the treatment of domestic sewage in a treatment works.

20. 40 C.F.R. § 503.11(a) defines the term “agricultural land” as land on which a food crop, a feed crop or a fiber crop is grown (this includes range land and land used as a pasture).

21. Based on information available to the Bond County Health Department (BCHD), improper septage disposal occurred on a parcel of land owned by Griffin, located in Section 27, T4N, and R2W in Bond County, Illinois.

22. Based on information available to the Clinton County Health Department (CCHD), improper septage disposal occurred on a parcel of land located at 21233 Walcott Road, Carlyle, Illinois. This property is not owned by Respondent.

23. Based on the information provided, from 2007 through 2010, Respondent failed to comply with the record-keeping requirements of 40 C.F.R. § 503.17(b)(1)-(8) when it failed to develop and retain records stating the location, by either street address or latitude and longitude, of each site on which domestic sewage was applied; the number of acres in each site on which domestic septage was applied; the date domestic septage was applied; the nitrogen requirement for the crop or vegetation grown on each site during a 365 day period; the rate, in gallons per acre per 365 day period, at which domestic septage was applied; the certification statement; a description of how the pathogen requirements in either § 503.32(c)(1) or (c)(2) were met; and a description of how the vector attraction reduction requirements in § 503.33(b)(9), (b)(10), or (b)(12) were met.

24. Respondent’s applications of domestic septage to agricultural land without properly preparing and maintaining the records specified at 40 C.F.R. § 503.17(b)(1)-(8), and Section 405(e) of the CWA, 33 U.S.C. § 1415(e), are violations of Section 405(e) of the CWA, 33 U.S.C. § 1345(e).

25. Section 309(g) of the CWA, 33 U.S.C. § 1319(g), states that: “[w]henever, on the basis of any information available the Administrator finds that any person has violated [Section 405 of the CWA, 33 U.S.C. § 1345] . . . the Administrator . . . may, after consultation with the State in which the violation occurs, assess a . . . class II civil penalty under [Section 309(g)(2)(B) of the CWA, 33 U.S.C. § 1319(g)(2)(B)].”

26. On October 4, 2010, EPA issued a Notice of Intent to File a Civil Administrative Complaint against Respondent for violations of the standards set forth at 40 C.F.R. Part 503. EPA offered Respondent an opportunity to advise EPA of any factors to be considered before the filing of a complaint and proposing a civil penalty of \$157,500.

27. Respondent subsequently claimed an inability to pay the proposed civil penalty and provided EPA with three (3) years of financial records (tax returns and financial statements) and additional information pertaining to his household finances to support its claim.

28. Based on Complainant’s financial analysis of Respondent’s financial records, EPA and Respondent agreed to settle this matter for a civil penalty of \$9,063. Respondent agrees to pay this civil penalty to the United States as set forth below.

TERMS OF SETTLEMENT

29. For the purpose of this proceeding and according to 40 C.F.R. § 22.18(b) and (c), Respondent: (a) admits that EPA has jurisdiction over the subject matter set forth in this consent agreement; (b) neither admits nor denies the facts stipulated in this consent agreement; and (c) consents to the terms of this CAFO.

30. This CAFO settles the civil violations alleged in the October 4, 2010, Notice of Intent letter.

31. Upon execution of the final order attached hereto, Respondent waives all rights to request a judicial or administrative hearing on any issue of law or fact set forth in this consent agreement, including, but not limited to, its right to request a hearing under Section 309(g)(2)(B) of the CWA, 33 U.S.C. § 1319(g)(2)(B), and its right to appellate review of the attached Final order found at Section 309(g)(8)(B) of the CWA, 33 U.S.C. § 1319(g)(8)(B).

32. Respondent agrees to pay the \$9,063 civil penalty for the alleged violations in the complaint by mailing a certified or cashier's check made payable to "Treasurer, United States of America" to the following address:

[for checks sent by regular U.S. Postal Service mail]

U.S. EPA
Fines and Penalties
Cincinnati Finance Center
P.O. Box 979077
St. Louis, MO 63197-9000

[for checks sent by express mail]

U.S. Bank
Government Lockbox 979077
U.S. EPA Fines and Penalties
1005 Convention Plaza
Mail Station SL-MO-C2GL
St. Louis, MO 63101

Payment of the civil penalty is due within thirty (30) calendar days from the effective date of this CAFO, which is the date that the CAFO is filed with the Regional Hearing Clerk. EPA reserves the right to require Respondent to provide a docket number on the check.

33. This civil penalty is not deductible for federal tax purposes.

34. When Respondent pays the civil penalty in accordance with Paragraph 32 above, Respondent shall simultaneously and separately send notice of such payment, including a copy of the check, to each of the following three parties at the address indicated:

Regional Hearing Clerk
Planning and Management Division (19J)
U.S. EPA, Region 5
77 West Jackson Boulevard
Chicago, Illinois 60604-3590

and

Cynthia A. King
Office of Regional Counsel (C-14J)
U.S. EPA, Region 5
77 West Jackson Boulevard
Chicago, Illinois 60604-3590

and

Valdis Aistars
Water Division (WC-15J)
U.S. EPA, Region 5
77 West Jackson Boulevard
Chicago, Illinois 60604-3590

35. Respondent's failure to pay the assessed civil penalty in accordance with Paragraph 32, above, will result in the referral of this matter to the United States Department of Justice for collection in accordance with Section 309(g)(9) of the Act, 33 U.S.C. § 1319(g)(9). In such an action, the validity, amount, and appropriateness of such penalty shall not be subject to review. In addition to any unpaid balance and interest of this penalty, Respondent shall also be required to pay attorney's fees and costs for collection.

36. Interest shall accrue on any amount overdue under the terms of this CAFO at an annual rate calculated in accordance with 40 C.F.R. § 13.11.

37. Respondent agrees to comply with the requirements of 40 C.F.R. Part 503 when land applying domestic septage.

OTHER MATTERS

38. Nothing in this CAFO relieves Respondent of the duty to comply with the CWA or other federal, state or local laws or statutes.

39. This Consent Agreement binds both parties, their officers, directors, employees, successors, and assigns to this action. The representative of each party signing this consent agreement certifies that he or she has authority to enter into the terms of this consent agreement and bind that party to it.

40. Each party agrees to bear its own costs accrued in the course of this action.

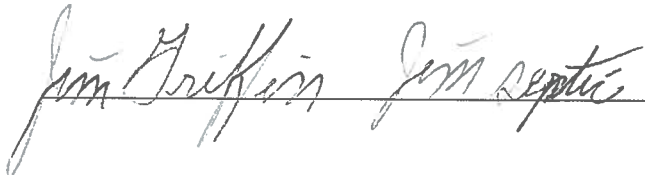
41. Pursuant to 40 C.F.R. § 22.38, the State of Illinois was notified of this proceeding.

42. The effective date of this CAFO is the date that the Final Order signed by the Regional

Administrator or her designated representative is filed in the office of the Regional Hearing Clerk, and is subject to the requirements of Section 309(g)(4)(C) of the Act, 33 U.S.C. 1319(g)(4)(C).

For Respondent:

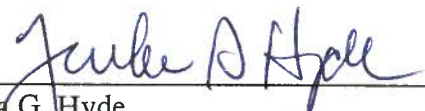
Jim Griffin d/b/a Griffin Septic Service



Date: 12 SEP 11

For Complainant:

United States Environmental Protection
Agency, Region 5



Tinka G. Hyde
Director, Water Division
U.S. EPA, Region 5

Date: 9/27/11

CWA-05-2011-0010

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PROTECTION AGENCY**

In the Matter of Jim Griffin d/b/a Griffin Septic Service
Carlyle, Illinois
Docket Number: **CWA-05-2011-0010**

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FINAL ORDER

The foregoing Consent Agreement is hereby approved and incorporated by reference into this Final Order. This Final Order disposes of this proceeding in accordance with 40 C.F.R. § 22.31. Accordingly, this Final Order shall not in any case affect the right of the Agency or the United States to pursue appropriate injunctive or other equitable relief or criminal sanctions for any violations of law other than those violations resolved by this Consent Agreement. Respondent is hereby ordered to comply with the terms of the above Consent Agreement effective immediately upon the filing of this Consent Agreement and Final Order with the Regional Hearing Clerk.



Susan Hedman
Regional Administrator

Date 11-16-11

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CERTIFICATE OF SERVICE

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U.S. EPA REGION 5

2011 DEC -6 AM 10:55

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PROTECTION AGENCY

Docket No: CWA-05-2011-0010
BD# 2751243W001

Facility Name: Griffin Septic Service
Septage Hauler
Carlyle, IL

I hereby certify that the original and one copy of this Administrative Complaint was filed with the Regional Hearing Clerk on DEC -6 2011 the above referenced document was sent Certified Mail to:

CERTIFIED MAIL 7099 3400 0000 9583 0735
RETURN RECEIPT REQUESTED

Mr. Jim Griffin
Griffin Septic Service
Septage Hauler
21956 Elliot Drive
Caryle, IL 62231

CERTIFIED MAIL 7001 0320 0006 0191 0271
RETURN RECEIPT REQUESTED

Mr. Michael Garretson
Compliance & Assurance Section
Illinois Environmental Protection Agency
P.O. Box 19276
Springfield, IL 62794-9276

Denise Moore Denise Moore

Title: Program Assistant

Date: DEC -6 2011